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- (54) Ink-jet ink compositions containing a colored polyurethane dispersion

Tintenstrahldrucktintenzusammensetzung, die eine gefärbte Polyurethandispersion enthält Composition d'encre pour l'impression par jet d'encre contenant une dispersion d'un polyuréthane coloré

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- (56) References cited: EP-A- 0 596 503

GB-A- 1 513 526

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#### Description

- [0001] The present invention relates to a non-erasable, permanent aqueous dispersible polymeric ink and its use in ink let printing processes.
- [0002] The use of aqueous inks in ink jet type printers is well known. Examples of teachings of such aqueous ink dispersions include the following:
  - [0003] U.S. Patent No. 4,596,846 (Bohne et al.) teaches an erasable printing ink for ink jet printers comprising a latex-based aqueous polymer dispersion including a water-soluble or organic-solvent soluble dyestuff. The binder in the dispersion is a noncarboxylated styrene butadiene, 2-chlorobutadiene and vinyl pyridine-styrenebutadiene polymers. Also, the colorant material in the link is not covalently bonded to the resin.
- [0004] U.S. Patent No. 4,705,567 (Hair et al.) teaches an ink jet ink composition comprised of water and a dye covalently attached to either a poly(ethylene glycoi) or a poly(ethylene imine) which is complexed with certain heterpolylanions.
- [0005] U.S. Patent No. 5,172,133 (Suga et al.) teaches an ink jet ink composition containing a pigment, a watersoluble resin and a liquid medium wherein the weight ratio of the resin to the pigment is defined by a certain equation. [0006] U.S. Patent No. 5,230,733 (Pawlowski) leaches an ink jet ink composition that contains water and a reactive dye comprising a polymeric chain with carboxyl groups and either hydroxyl groups or amine groups having the capability to form at least one 5 to 8 member lactione or lactam ring by condensing the carboxyl groups but remaining in the ring onen form when dissolved in acueus inks at slightly basic of hard having at least one 5 to 8 one of the other stathed to the
  - chain.
    [0007] U.S. Patent No. 5,310,887 (Moore et al.) discloses the use of polymeric colorants that are used in aqueous ink compositions at pH's above 8 and are waterfast.
- [0008] U.S. Patent No. 5,441,561 (Chujo et al.) teaches an ink-jet recording ink comprising water, a water-soluble organic solvent and a color material and having a certain range of total volume of particulate matter in the ink.
- 25 [0009] U.S. Patent No. 5,443,628 (Loria et al.) teaches an Ink composition suitable for ink jet printing comprising water, a binder resin and a dispersion of synthetic pigment particles that have a certain particle size.
  - 10010] Separately, the preparation of aqueous polyurethane dispersions is well known. Specifically, it is known to react an isocyanate, polyel and as surfactant loop-upter to form a polyurethane prepolymer; followed by neutralizing that prepolymer with an amine; then forming an aqueous polyurethane dispersion by adding water and a chain extender. See Miles Product Brochure "Waterbome Polyurethanes" as excepted from "Advances in Unrelane Science and Technology" K.C. Frisch and D. Klempner, Editors, Vol. 10, pp 121-182 (1987). Also, it is known to use polymeric liquid reactive coloring agents that are suitable for incorporation into a thermosetting resin, such as polyurethane, through the user of covalent bonds as laught in U.S. Patent No. 4,284,729 (Cross et al.) to obtain coloration of form materials.
- the use of covalent bonds as taught in U.S. Patent No. 4,284,729 (Cross et al.) to obtain coloration of loam materials.

  [0011] And separately, the use of polyverhane polymers in ball point por inks and the like (out not in ink in the like compositions) is well known. For example, U.S. Patent No. 5,194,463 (Krutak et al.) teaches light-absorbing polyurethane compositions may be used in ink compositions. See column 19, lines 17-37 of this reference.

  [0012] One disadvantage with using dispersions, such as in Inkyle Iriks, is the tendency for the dispersed particles
  - to settle out of the liquid carrier medium or solution. This appears to be a particle size or particle stabilization phenomens. Settling can be characterized as hard settling, where the particles are redispersible in the liquid carrier medium with a minimum of aplation. For example, in a ball ploit pen settled and agglomerated particles can be redispersed or simply forced out of the pan by pressing on the pen tip so that the moderate pressure applied by the writer's hand will translate to high pressure on the tip of the ball point, deforming the agglomerated's settled particles and permitting them to pass through the opening in the pen point with the carrier liquid. Inks used in ink jet formulations, however, must have particles sizes sufficiently small as not to coalesce and settle but, thereby cloquing the print pass of the print had be also dought there is no way for sufficient.
- force to be applied through liquid pressure in the print head to unclog the print head orifice.

  [0013] Attempts to overcome this problem have lead to the use of polymeric yets et add from where the polymer is covalently bonded to the chromophore, but such dyes must then be solubilized through the use of a basic sait. This results in a system that is buffered at a basic ply, otherwise the polymeric dive does not remain soluble and precipitates
  - out at neutral or acidic pirks.

    [0014] The present invention seeks to retain the advantages of the prior art aqueous ink-jet ink compositions, yet overcome their disadvantages. These disadvantages include feathering and low water fastness for water soluble dye based systems and inherent instability settling notoblems for priorent based systems.
- [0015] Therefore it is the object of the present invention to provide an ink composition, whereby the above-mentioned disadvantages are overcome.
  - [0016] This object is achieved by a non-erasable ink-jet ink composition comprising an admixture of:-
    - (1) an aqueous colored polyurethane dispersion which is the reaction product of:-

(a) a colored urethane prepolymer which is the catalyzed reaction product of:-

- (i) at least one polyol.
- (ii) at least one polyisocyanate.
- (iii) at least one internal surfactant, and
- (iv) at least one reactive colorant,
- (b) at least one neutralizing agent;
  - (c) a first aqueous dispersing medium; and
- (d) at least one chain extender,

the ratio of internal surfactant to polyol in the aforesaid prepolymer reactants being about 0.5:1 to about 2:1 and ratio of NCO groups to total OH groups in the prepolymer reactants being 1.2:1 to 2:1;

- (2) a second aqueous dispersing medium; and
- (3) at least one humectant.

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wherein the ink composition has a jettable viscosity from 1 to 20 mPa·s 1 to 20 centipoise at 10°C to 45°C.

- [0017] A second aspect of the present invention is directed to the process of using the above-noted permanent inkjet ink composition in an ink-jet printer.
- [0018] The polyurethane dispersion is preferably composed of particles ranging in size from 0.01 μm to 5.0 μm (0.01 micron to 5.0 microns), more preferably from 0.03 μm to 1.00 μm (0.03 micron to 1.00 micron) or less.
  - [0019] It is another feature of the present invention that the particles in the polyurethane dispersion coalesce together as the aqueous carrying medium evaporates.
- [0020] It is an advantage that the thin, plastic film formed from the ink of the present Invention has high tensile strength and strong adhesion to the final receiving substrate.
- [0021] It is another advantage of the present invention that the colorant is built into the polyurethane back bone of the molecule by covalent bonding.
  - [0022] The link composition is capable of forming thin, durable plastic films preferably having a storage modulus (E') of from 1×10<sup>3</sup> to 4×10<sup>5</sup> N (1×10<sup>8</sup> to 4×10<sup>10</sup> dynes) per square centimeter.
  - [0023] The ink composition may optionally comprise at least one plasticizer
- [0024] The aqueous medium preferably has a pH of 5 to 9.
  - [0025] As stated above, the non-erasable, permanent ink-jet ink composition of the present invention has three (3) critical ingredients, namely, (1) an aqueous colored polyurethane dispersion; (2) an aqueous medium and (3) at least one humectant. Optionally at least one plasticizer can be included in the composition.
- 35 [0026] The aqueous colored polyurethane dispersion ingredient of this ink composition is the reaction product of four reactive precursors, namely, (a) a colored urethane prepolymer; (b) at least one neutralizing agent; (c) an aqueous dispersing modium; and (c) at least one chain extender.
  - [0027] The colored urethane prepolymer is the catalyzed reaction product of (i) at least one polyol; (ii) at least one polyol; (iii) at least one polyol; (iii) at least one internal surfactant; and (iv) at least one reactive colorant.
- 40 [0028] Suitable polyols for use in the ink compositions of the present invention include any polyols that could be used in making aqueous polyurethane dispersions for the present intended purpose. These include polyether, polyester, polycarbonate, and silicone based polyols.
- [0029] Suitable polyiscoyanates include alighatic, cycloaliphatic, aromatic and heterocyclic polyiscoyanates that could be used in making aqueous polyurathane dispensions for the present intended purpose. These include for example, ethylene diiscoyanate, tetramethylene 1,4-diiscoyanate, hexamethylene 1,6-diiscoyanate, dodecane 1,12-di-iscoyanate, cyclobuane 1,3-diiscoyanate, cyclobuane 1,3-diiscoyanate, cyclobuane 1,3-diiscoyanate, and also any mixtures of these iscomers, 1-iscoyanate, open and 2,6-diiscoyanate, and any mixtures of these iscomers, hexahydrophenylene 1,3-and/or 1,4-diiscoyanate, perhydrodiphenylmethane 2,4-and/or 1,4-diiscoyanate, loulene 2,4-and 2,6-diiscoyanate, and any mixtures of these iscomers, hexahydrophenylene 1,3-and/or 1,4-diiscoyanate, bylates and a prinkture.
- these Isomers, diphenylmethane 2,4'- and/or 4,4'- diisocyanate, naphthalene 1,5-diisocyanate, triphenylmethane 4,4', 4'-tiisocyanate, tetramethylsylene diisocyanate (TMXDI) and polyphenyl-polymethylene polyisocyanates. [0030] Suitable internal surfactants include both anionic and cationic internal surfactants. These include sulfonate diamines and diols, as well as dihydroxy carboxylic acids. The most preferred internal surfactant is α,α-dimethylolpro-
- pionic acld (DMPA).

  5 [0031] Suitable reactive colorants include any colorant materials that are reactive to the isocyanate molety and provide coloration in the IR, UV or visible spectrum. Preferably these include hydroxyl-containing or amine-containing colorants (i.e. with 2 or more -OH, -NH, -NH<sub>2</sub>, and -SH groups). Suitable colorants include Color Index (C.I.) Solvent Red 17 and C.I. Disperse Blue 23.

[0032] The catalyst for this prepolymer-forming reaction may be any conventional urethane forming catalyst. One preferred catalyst is dibutyltin dilaurate.

[0033] The amount of each of the reactants in the prepolymer have the ratio of internal surfactant to polyol of 0.5.1 to 2.0.1 and a ratio of NCO groups to total OH groups of 1.2.1 to 2.0.1. It is desired to have a high internal surfactant to polyol ratio and a low NCO group to 0H group ratio.

[0034] Generally, the reaction is carried out at about 70° to about 100°C for 1 to 5 hours until the theoretical isocyanate content, as determined by the di-n-butylamine thration method, was reached to form an isocyanate-terminated prepot-mer containing both internal surfactant and colorant motieties therein.

[0035] This iso-cyanate terminated propolymer, with DMPA as the internal surfactant, is then reacted with a neutralizing agant, such as an appropriate trialitylamine, preferably trieflyamine. The amount of neutralizing agent used is dependent upon the amount of internal surfactant and ranges from 50 to 102 percent of the quantity of internal surfactant present, more preferably 90 to 102 percent of the quantity of surfactant. For example, where about 10.24 grams of DMPA are used, the amount of the triefly/amine neutralizing agent can vary from 3.88 to 7.81 grams based of notichiometric equivalent moles. This neutralization step allows the prepolymer to be dispersible by the neutralization of the carboxylic sed sites on the internal surfactant.

[0036] The neutralized prepolymer is then formed into an aqueous dispersion by combining it with water under conventional dispersion-forming conditions. The amount of water added is based on the desired percentage of solids in the final polymerhane dispersion and can range from 50 to 90 percent water and preferably is between 60 to 70 percent water. A chain extender such as a suitable diamine, triamine, diol or a triol, is then added to increase the molecular weight of the dispersed colored polymerhane by using an amount stockholmentically equivalent to 60 to 100 percent of the amount of prepolymer and most preferably 85 to 95 percent of the amount of the prepolymer. The molecular weight of the polyol amployed and the particular chain extender used can impact the adhesion of the ink to the final receiving substrate, Ethipwen diamine is the preferred chain extender.

[0037] Any suitable amounts of prepolymer, neutralizing agent, water and chain axtender may be added to the prepolymer as long as a stable colored polyurethane dispersion of appropriate color strength is formed. The colorant may comprise from 1 to 20 percent by weight of the prepolymer and most preferably is from 7 to 19 percent by weight.

[0038] The equipment for making the aqueous colored polyurethane dispersion may be any standard dispersion equipment, such as a high speed impeller disc.

[0039] The colored polyurethane dispersion is then combined with an aqueous medium, at least one humectant, and optionally at least one plasticizer.

[0040] Sufficient water is added to lower the viscosity of the formed ink composition to obtain a "jettable" viscosity and appropriate color strength. A viscosity of 1 to 20 mentpoise), as measured with a Brookfield viscometer, is desirable. A most preferred viscosity range is from 1.5 to 15 mPa (1.5 to 15 centpoise).

[0041] One ormore humactants may be used to prevent the ink jet tip from drying, and the nozzle/walve from clogging. It can also act as a viscosity control agent. Different molecular weights of polysthylene gyocs have different solution viscosities. Ink viscosity can be talior-made by making use of this property, Humactants usaful in the Ink compositions of the present invention Include glycerol, propylene glycol and polyethylene glycols, such as those sold under the tradenames Carbowax 200, Carbowax 300, Carbowax 400, Carbowax 600, and Carbowax 3350 by Union Carbide. Procydene dlycol is preferred.

40 [0042] The humectant should be present in an amount from 2% to 20% by weight of the ink composition, with an amount of from 4% to 10% by weight being preferred.

[0043] Optional plasticizers include aliphatic polyols, phthalate esters (such as 1,6-hexane diol and dioctylphthalate), as well as other urethane compatible plasticizers.

[0044] Other components may also be included in the ink compositions of the present invention to impart characteristics desirable for ink let printing applications.

[0045] Resins may also be added to adjust the viscosity of the ink compositions. Useful resins include polyester emulsions, acrylic emulsions, acrylic resins, polyvinyl alcohol, cellulose acetate resin, polyvinyl pyrrolldone, styrenated shallac emulsions and acrylided shallac emulsions.

[0046] Other optional components include conductivity agents, defoamers, anti-oxidents and corrosion inhibitors of which improve ink manufacturing and printer performance; bacterioddes, which prevent bacterial attack that fouls ink manufacturing equipment and printers; and pt control agents, which insure that the components of the ink composition remain soluble throughout the operable range of water contents as well as throughout the period of storage and use. [0047] The present invention may also comprise other additives, which may be any substance that can enhance the link with regard to (i) improved solubility of other components, (ii) improved print quality, (iii) improved adhesion of the ink to the media, and (iv) control of wetting characteristics, which may be related to such properties as surface tension and viscosity, amono other properties.

[0048] The dispersed particles of polyurethane must be of a size such that they are not large enough to clog the print head orifice and have a sufficiently small size that they will be indefinitely stable because of the internal surfactant that

minimizes agglomeration and coalescence in the liquid dispersion. Suitable particle sizes can range from 0.02 to 5.00 μm (0.02 microns to 5.00 microns), but more preferably are from 0.03 to 1.00 μm (0.03 microns to 1.00 microns) or less. This size range permits the particles and the resultant ink in which they are dispersed to overcome settling and stability/dispersing problems.

[0049] Once jetted from an ink jet print head, the ink with the combined polyment dyes and polyurethane particles displays increased tensile strength and adhesion. The polyurethane particles, which are preferably submicron in size, coalesce together as the aqueous carrying medium evaporates to form the non-erasable, hard plastic film on the receiving substrate that is waterfast and non-reversible. The links have a high degree of transparency and brightness. [0050] These polyurethane particles remain stabilized or dispersed in a liquid carrying medium having a pH of from

4 to 10, more preferably from 5 to 9, and most preferably from 6 to 8. [0051] The following Examples further illustrate the present invention. All parts and percentages are by weight and all temperatures are degrees Celsius unless explicitly stated otherwise.

## Example 1

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## A. Prepolymer Formation

[0052] A polyol<sup>1</sup>, a hydroxyl-containing reactive colorant<sup>2</sup> and an internal surfactant<sup>3</sup> previously dissolved in N-methvipyrrolidone (NMP) were combined in a reaction vessel and heated to about 80 to about 90°C. Immediately upon reaching that temperature range, dibutyltin dilaurate catalyst (0.10 grams) was added to the vessel. A diisocyanate<sup>4</sup> was then added dropwise to the vessel over a period of 45 minutes, with stirring, and under nitrogen while maintaining temperature. After this addition, the temperature of the reaction mixture was maintained at 80 to 90°C with stirring for 3 additional hours until the theoretical isocyanate content, as determined by the di-n-butylamine titration method, was reached. The amounts of each ingredient are given in Table 1.

## B. Neutralization

[0053] The prepolymer was then allowed to cool to 65°C and triethylamine neutralizing agent was then added to the reaction vessel while the stirring continued. The amount of triethylamine is given in Table 1.

### C. Preparation of Aqueous Dispersion

[0054] A dispersion blade was placed in the reaction vessel and sufficient water was added to the neutralized prepolymer to give about a 35% by weight solids contents. A colored aqueous polyurethane dispersion was prepared by subjecting the mixture to a high speed dispersion (approximately 7500 rpms) for about 5 minutes. The amount of water added is given in Table 1.

## D. Chain Extension of Aqueous Dispersion

[0055] The dispersion blade in the reaction vessel was replaced with a propeller blade and the aqueous dispersion of Part C was then mildly agitated. Ethylene diamine as a chain extender was added to the agitated aqueous dispersion dropwise over several minutes to chain extend or increase the molecular weight of the colored polyurethane dispersion (PUD). The amount of ethylene diamine added to the dispersion is given in Table 1.

## Example 2

[0056] The procedures of Example 1 were repeated except another hydroxyl-containing reactive colorant<sup>5</sup> was employed. The amounts of the ingredients are given in Table 1.

# Example 3

[0057] The procedures of Example 1 were repeated except still another hydroxyl-containing reactive colorant6 was employed. The amounts of the ingredients are given in Table 1.

<sup>1</sup>TERETHANE 2000 polytetramethylene oxide polyol available from E.I. DuPont deNemours and Company of Wilmington, Delaware <sup>2</sup>MILLIKEN EXP YELLOW DYE (9495-28) available from Milliken and Company of Spartanburg, South Carolina

3Dimethylolpropionic acid (DMPA) available from Aldrich Chemical of Milwaukee, Wisconsin 4isopharona Dilsocyanata (IPDI) available from Huls Amarica, Inc. of Piscataway, Naw Jarsey

MILLIKEN EXP ORANGE (9495-28) available from Milliken and Company of Spartanburg South Carolina 6MILLIKEN EXP RED (9495-28) available from Milliken and Company of Spertanburg, South Carolina

## Example 4

[0058] The procedures of Example 1 were repeated except still another hydroxyl-containing reactive colorant7 was employed. The amounts of each ingredient are given in Table 1.

## Example 5

[0059] The procedures of Example 1 were repeated except still another hydroxyl-containing reactive colorant8 was employed. The amounts of each ingredient are given in Table 1.

## Example 6

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[0060] The procedures of Example 1 was repeated except a different dilsocyanate9 and still another hydroxyl-containing reactive colorant 10 were employed. The amounts of each Ingredient are given in Table 1.

<sup>&</sup>lt;sup>7</sup>MILLIKEN EXP VIOLET (8495-28) available from Milliken and Company of Spartanburg, South Carolina <sup>8</sup>MILLIKEN EXP BLUE III (9495-28) available from Milliken and Company of Spartanburg, South Carolina TIMXIDI available from Cytec of West Paterson, N.J.

10 MILLIKEN EXP BLUE II (9495-28) available from Milliken and Company of Spartanb

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			PREPAR	ATION OF C	Table 1 OLORED POI	Table 1 PREPARATION OF COLORED POLYURETHANE DISPERSION	ANE DISPER	NOIS		
Example	Amount of Polyol (grams)	Type of Colorant	Amount of Colorant (grams)	Amount of DMPA (grams)	Amount of NMP (grams)	Type of Diisocyanate	Amount of Disocyanate (grams)	Amount of Water (grams)	Amount of Tricthylamine (grams)	Amount of Ethylenc Diamine (grams)
	66.94	Yellow	24.34	10.24	17.10	IPDI	42.4	255	7.8	3.4
2	66.94	Orange	21.93	10.24	17.10	IPDI	42.4	255	7.8	3.4
	66.94	Red	25.60	10.24	17.10	IPDI	42.4	255	7.8	3.4
•	66.94	Violet	26.78	10.24	17.10	IPDI	42.4	255	7.8	3.4
2	69.85	Cyan	8.88	8.77	14.64	IDI	42.4	231	9.9	4.4
9	58.63	Blue	9.25	9.40	13.07	TMXDI	42.4	210	7.1	3.15

#### Example 7

## INK FORMULATION

(0061) The colored polyurethane dispersion (PUD) of Example 3 was transferred to a another container, sealed and stored for two days to permit the reaction to go to completion. It was then combined with a plasticizer<sup>11</sup>, more water and a humericant<sup>12</sup>, filtered and loaded into ink-jet cartridge. The amounts of each ingredient in this link formulation are given in Table II. The amount of water added was sufficient to reduce the viscosity to about 3 mPa·s (3 centipolse), a suitable viscosity to allow the ink to be "fatter" in an Epson Stivus color printer.

Table II

	INK FORMULATION			
15	Amount of PUD (grams)	Amount of Plasticizer (grams)	Amount of Water (grams)	Amount of Humectant (grams)
	20	4.32	66.45	4.32

## Example 8

### Evaluation of Ink Formulation

[0062] The link of Example 7 was jeted of on an Epson Stybus color printer parents a solid fill limage on Hammand paper. The paper appear. The paper paper appear probable solid fill limage on Hammand paper. The paper paper probable solid fill limage was subjected to the waterfastness tests. In one test the sample was rubbed with wet fingers without any noticeable smearing. In a second test the sample was placed under the running water of a facucity without any noticeable loss in order.

## Example 9

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[0063] An aqueous polyurethane dispersion of Example 3 was filtered through a 1 micron glass fiber filter. An ink consisting of about 20.68 g of the filtered polyurethane dispersion Exp-3436-91, about 5.0 g of the humectant 2-pyrrolidone, about 5.0 g of the humectant glycerol, about 5.0 g of the plasticizer 1,6-hexanediol was prepared. The mixture was stirred for 30 minutes at room temperature. To this dispersion, about 1.5 g of the plasticizer dioctylphthalate and about 0.58 g of the surfactant Surfynol 465 ethoxylated tetramethyldecynediol, available from Air Products and Chemicals, inc. of Allentown, Pennsylvania, was added. The mixture was stirred for 30 minutes. Viscosity was measured on a Brookfield viscometer to be about 3.87 mPa·s (3.87 cPs). The ink was filtered through a 1 micron glass fiber filter. The Ink was jetted on an Epson Stylus color printer to generate a solid fill image. The printed solid filled image was subjected to several waterfastness tests. In one test the sample was rubbed with wet fingers without any noticeable smearing. In a second test the sample was placed under the running water of a faucet without any noticeable loss in color, in a third test the sample was taped onto a wheel and passed through water, and the ΔE color deviation was measured after 1 and 10 revolutions. Those results are shown in Table III. Table III shows the results of the red, which approximates a magenta, of Example 9 jetted from the Epson Stylus printer compared with the commercially available Hewlett Packard (HP) magenta ink letted from an HP 1200C color printer. The color deviation of the ink in Example 9 is better, indicating less color change after exposure to water on the water wheel after both one and ten revolutions than the HP magenta ink.

Table III

Δ	E (Color Deviation)	
	Example 9 Red	HP Magenta
1-revolution	6.48	7.4
10-revolutions	14.63	21.97

[0064] While the invention has been described above with reference to specific embodiments thereof, it is apparent that many changes, modifications and variations can be made without departing from the inventive concept disclosed herein. It is to be understood that while reference has been made in this disclosure to just polyverthane discorrsions.

12 Triethanolamine available from Aldrich Chemical Company, Inc. of Milwaukee, Wisconsin

<sup>&</sup>lt;sup>11</sup>PEG 200 polyethylene glycol available from JT Baker Chemical Company of Phillipsburg, New Jersey

it is intended to encompass polyurethane-polyurea dispersions wherein the polymer is characterized by the occurrence of both urethane (-NH-CO-O) and urea (-NH-CO-NH-) groups in the macromolecular chain. Also, the ink jet composition of the present invention can be employed either in direct printing applications where the link is applied directly to the final receiving substrate or in indirect or offset printing applications where the link is first applied to an intermediate transfer surface and then to the final receiving surface. Smillarly, he link can be propelled from the print head by any conventional printing driver technique, such as piezoelectric transducers, bubble jets or drop-on-demand printing. All astern accidents one side therein are incomporated by reference in their entirety.

#### 0 Claims

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- 1. A non-erasable ink-let ink composition comprising an admixture of:-
  - (1) an aqueous colored polyurethane dispersion which is the reaction product of:-
    - (a) a colored urethane prepolymer which is the catalyzed reaction product of:-
      - (i) at least one polyol.
      - (ii) at least one polvisocvanate.
      - (iii) at least one internal surfactant, and
      - (iv) at least one reactive colorant,
    - (b) at least one neutralizing agent;
    - (c) a first aqueous dispersing medium; and
    - (d) at least one chain extender,

the ratio of internal surfactant to polyol in the aforesaid prepolymer reactants being about 0.5:1 to about 2:1 and ratio of NCO groups to total OH groups in the in the prepolymer reactants being 1,2:1 to 2:1:

- (2) a second aqueous dispersing medium; and
- (3) at least one humectant,

wherein the ink composition has a lettable viscosity from 1 to 20 mPa-s (1 to 20 centipoise) at 10°C to 45°C.

- A composition as claimed in claim 1 which in the form of a thin, durable plastic film has a storage modulus (E') of from 1 x 103 to 4 x 105 N (1 x 108 to 4 x 1010 dynes) per square centimeter.
  - A composition as claimed in claim 1 or claim 2 wherein the aqueous colored polyurethane dispersion comprises particles ranging in size from 0.01 μm to 5 μm (0.01 microns to 5 microns).
- A composition as claimed in claim 3 wherein the aqueous colored polyurethane dispersion particles range in size from 0.03 μm to 1 μm (0.03 microns to 1 micron).
  - A composition as claimed in any preceding claim wherein the polyol is a polyether, a polyester, a polycarbonate, a silicone based polyol or a mixture of two or more thereof.
  - A composition as claimed in any preceding claim wherein the polyisocyanate is an aliphatic, cycloaliphatic, aromatic or heterocyclic polyisocyanate or a mixture of two or more thereof.
  - A composition as claimed in any preceding claim wherein the internal surfactant is anionic or cationic or is a mixture
    of one or more cationic surfactants with one or more anionic surfactants.
    - A composition as claimed in Claim 7 wherein the internal surfactant is a sulfonate diamine, a sulfonate diol, a dihydroxy carboxylic acid or a mixture of two or more thereof.
- A composition as claimed in Claim 8 wherein the carboxylic acid is α,α-dimethylolpropionic acid.
  - 10. A composition as claimed in any preceding claim wherein the reactive colorant is a colorant which is reactive with the polyisocyanate.

- 11. A composition as claimed in Claim 10 wherein the reactive colorant is one which has hydroxyl-containing and/or amine-containing groups.
- 12. A composition as claimed in any preceding claim wherein the reactive colorant is present as a prepolymer reactant in an amount such that it constitutes from 1 to 20 percent by weight of the urethane prepolymer.
  - 13. A composition as claimed in claim 12 wherein the reactive colorant is present as a prepolymer reactant in an amount such that it constitutes from 7 to 19 percent by weight of the urethane prepolymer.
- 10 14. A composition as claimed in any preceding claim wherein the neutralizing agent is a trialkylamine.
  - 15. A composition as claimed in Claim 14 wherein the trialkylamine is triethylamine.
- 16. A composition as claimed in any preceding claim wherein the chain extender is a diamine, a triamine, a diol, a triol or a mixture of two or more thereof.
  - 17. A composition as claimed in Claim 16 wherein the chain extender is ethylene diamine.
  - A composition as claimed in any preceding claim wherein the second aqueous dispersing medium has a pH of from 4 to 10.
    - 19. A composition as claimed in claim 18 wherein the second aqueous dispersing medium has a pH of from 6 to 8.
  - 20. A composition as claimed in any preceding claim wherein the humectant is glycerol, propylene glycol, polyethylene glycol or a mixture of two or more thereof.
    - 21. A composition as claimed in any preceding claim and including at least one plasticizer.
  - A composition as claimed in Claim 21 wherein the plasticizer is an aliphatic polyol, a phthalate ester or a mixture of two or more thereof.
    - 23. A composition as claimed in Claim 22 wherein the aliphatic polyol is 1,6-hexane diol and the phthalate ester is diocylphthalate.
- 24. Use of a colored urethane prepolymer as defined in sub-integer (a) of Claim 1 for formation of an ink jet composition
  comprising the aqueous colored polyurethane dispersion defined in integer (1) of Claim 1.

## Patentansprüche

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- 1. Eine nicht löschbare Tintenstrahltintenzusammensetzung, umfassend eine Mischung von:
  - (1) einer wässrigen, gefärbten Polyurethandispersion, welche das Reaktionsprodukt ist von:
- 45 (a) einem gefärbten Urethanprepolymer, welches das katalysierte Reaktionsprodukt ist von:
  - (i) wenigstens einem Polyol,
  - (Ii) wenigstens einem Polylsocyanat,
  - (iii) wenigstens einem inneren, oberflächenaktiven Mittel und
  - (iv) wenigstens einem reaktiven Färbemittel,
    - (b) wenigstens einem Neutralisierungsmittel;
  - (c) einem ersten, wässrigen Dispergiermedium; und
  - (d) wenigstens einem Kettenverlängerer,

wobei das Verhältnis des inneren, oberflächenaktiven Mittels zu Polyol in den vorstehend genannten Prepolymerreaktanten etwa 5.5 i bis etwa 2:1 beträgt, und das Verhältnis von NCO-Gruppen zu den gesamten OH-Gruppen in den Prepolymerreaktanten 1,2:1 bis 2:1 beträgt.

- (2) einem zweiten wässrigen Dispergiermedium; und
- (3) wenigstens einem Feuchthaltemittel,

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- worin die Tintenzusammensetzung eine ausspritzbare Viskosit\u00e4t von 1 bis 20 mPa s (1 bis 20 Centipoise) bei 10°C bis 45°C hat.
  - Eine Zusammensetzung wie in Anspruch 1 beansprucht, welche in der Form eines dünnen, widerstandsfähigen Kunststofffilms einen Lagerungsmodul (E') von 1 x 10<sup>3</sup> bls 4 x 10<sup>5</sup> N (1 x 10<sup>8</sup> bls 4 x 10<sup>10</sup> dyn) pro cm<sup>2</sup> hat.
  - Eine Zusammensetzung wie in Anspruch 1 oder Anspruch 2 beansprucht, worin die w\u00e4ssrige, gef\u00e4rbte Polyurethandispersion Teilchen mit einem Gr\u00f6\u00dfenbereich von 0.01 um bis 5 um (0.01 Mikron bis 5 Mikron) enth\u00e4lt.
- Eine Zusammensetzung wie in Anspruch 3 beansprucht, worin die Teilchen der wässrigen, gefärbten Polyurethandispersion im Größenbereich von 0,03 μm bis 1 μm (0,03 Mikron bis 1 Mikron) liegen.
  - Eine Zusammensetzung wie in einem der vorhergehenden Ansprüche beansprucht, worin das Polyol ein Polyol auf Basis eines Polyethers, eines Polyesters, eines Polycarbonats, eines Silicons oder eine Mischung aus zwei oder mehreren davon ist.
  - Eine Zusammensetzung wie in einem der vorhergehenden Ansprüche beansprucht, worin das Polylsocyanat ein allphatisches, cycloaliphatisches, aromatisches oder heterocyclisches Polylsocyanat oder eine Mischung von zwei oder mehren davon ist.
- 7. Eine Zusammensetzung wie in einem der vorhergehenden Ansprüche beansprucht, worin das Innere, oberflächenaktive Mittel anionisch oder kationisch ist oder eine Mischung von einem oder mehreren kationischen, oberflächenaktiven Mitteln mit einem oder mehreren anionischen. oberflächenaktiven Mittell nist.
  - Eine Zusammensetzung wie in Anspruch 7 beansprucht, wonn das innere, oberflächenaktive Mittel ein Sulfonatdiamin, ein Sulfonatdiol, eine Dihydroxycarbonsäure oder eine Mischung von zwei oder mehreren davon ist.
    - 9. Eine Zusammensetzung wie in Anspruch 8 beansprucht, worin die Carbonsäure  $\alpha, \alpha$ -Dimethylolpropionsäure ist.
- Eine Zusammensetzung wie in einem der vorhergehenden Ansprüche beansprucht, worin das reaktive F\u00e4rbemittel
  ein F\u00e4rbemittel ist, das mit dem Polylsocyanat reaktiv ist.
  - 11. Eine Zusammensetzung wie in Anspruch 10 beansprucht, worin das reaktive F\u00e4rbemittel ein F\u00e4rbemittel ist, das Hydroxyl enthaltende und/oder Amin enthaltende Gruppen hat.
- 12. Eine Zusammensetzung wie in einem der vorhergehenden Ansprüche beansprucht, worin das reaktive F\u00e4rbemnittel als ein Prepophmerreaktant in einer solchen Menge vorhanden ist, dass es 1 bis 20 Gew.-% des Urethanprepolymers ausmacht.
- 13. Eine Zusammensetzung wie in Anspruch 12 beansprucht, worin das reaktive F\u00e4rbemittel als ein Prepolymerreaktant in einer solchen Menge vorhanden ist, dass es 7 bis 19 Gew.-% des Urethanprepolymers ausmacht.
  - 14. Eine Zusammensetzung wie in einem der vorhergehenden Ansprüche beansprucht, worin das Neutralisierungsmittel ein Trialkylamin ist.
- 50 15. Eine Zusammensetzung wie in Anspruch 14 beansprucht, worin das Trialkylamin Triethylamin ist.
  - 16. Eine Zusammensetzung wie in einem der vorhergehenden Ansprüche beansprucht, worin der Kettenverlängerer ein Diamin, ein Triamin, ein Diol, ein Triol oder eine Mischung von zwei oder mehreren davon ist.
- 55 17. Eine Zusammensetzung wie in Anspruch 16 beansprucht, worin der Kettenverlängerer Ethylendiamin ist.
  - Eine Zusammensetzung wie in einem der vorhergehenden Ansprüche beansprucht, worin das zweite wässrige Dispergiermedium einen pH von 4 bis 10 hat.

- Eine Zusammensetzung wie in Anspruch 18 beansprucht, worin das zweite wässrige Dispergiermedium einen pH von 6 bis 8 hat.
- Eine Zusammensetzung wie in einem der vorhergehenden Ansprüche beansprucht, worin das Feuchthaltemittel Glycerin, Propylenglycol, Polyethylenglycol oder eine Mischung von zwei oder mehreren davon ist.
  - Eine Zusammensetzung wie in einem der vorhergehenden Ansprüche beansprucht, die wenigstens einen Weichmacher enthält.
- 22. Eine Zusammensetzung wie in Anspruch 21 beansprucht, worin der Weichmacher ein aliphatisches Polyol, ein Phthalatester oder eine Mischung von zwei oder mehreren davon ist.
  - Eine Zusammensetzung wie in Anspruch 22 beansprucht, worln das allphatische Polyol 1,6-Hexandiol ist und der Phthalatester Dioctylohthalat ist.
  - 24. Verwendung eines gef\u00e4rbten Urethanprepolymers, wie in Absatz (a) von Anspruch 1 definiert, zur Bildung einer Tintenstrahlzusammensetzung, welche die in Absatz (1) von Anspruch 1 definierte w\u00e4ssrige, gef\u00e4rbte Urethandispersion enth\u00e4lt.

#### Revendications

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- 1. Composition d'encre pour jet d'encre non effaçable comprenant une admixtion de :
- 25 (1) une dispersion aqueuse de polyuréthane coloré qui est le produit réactionnel de :
  - (a) un prépolymère d'uréthane coloré qui est le produit de la réaction catalysée de :
    - (i) au moins un polvol.
    - (ii) au moins un polyisocyanate,
    - (iii) au moins un tensioactif inteme, et (iv) au moins un colorant réactif.
    - (17) 44 ....
  - (b) au moins un agent neutralisant,
  - (c) un premier milieu dispersant aqueux, et
  - (d) au moins un extenseur de chaîne,
  - le rapport du tensioactif Interne sur le polyol dans les réactifs de prépolymère cités précédemment étant d'environ 0,5-1 à environ 2-1 et le rapport des groupements NCO sur les groupements OH totaux dans les réactifs de prépolymère étant de 1,2-1 à 2-1,
  - (2) un second milieu dispersant aqueux, et
  - (3) au moins un humidifiant.
  - dans laquelle la composition d'encre présente une viscosité permettant le jet de 1 à 20 mPa.s (1 à 20 centipoises) de 10 °C à 45 °C.
  - Composition selon la revendication 1 qui, sous la forme d'un mince film de matière plastique durable, présente un module de stockage (E') de 1 × 10<sup>3</sup> à 4 × 10<sup>5</sup> N (1 × 10<sup>8</sup> à 4 × 10<sup>10</sup> dynes) par centimètre carré.
- Composition selon la revendication 1 ou la revendication 2, dans laquelle la dispersion aqueuse de polyuréthane coloré comprend des particules dont la taille va de 0,01 μm à 5 μm (0,01 micromètre à 5 micromètres).
  - Composition seion la revendication 3, dans laquelle les particules de la dispersion aqueuse de polyuréthane coloré ont une taille qui va de 0,03 µm à 1 µm (0,03 micromètre à 1 micromètre).
  - Composition seion l'une quelconque des revendications précédentes, dans laquelle le polyol est un polyéther, un polyester, un polycarbonate, un polyol à base de silicone ou un mélange de deux ou plusieurs de ceux-ci.

- Composition selon l'une quelconque des revendications précédentes, dans laquelle le polyisocyanate est un polyisocyanate alliphatique, cyclo-alliphatique, aromatique ou hétérocyclique ou un mélange de deux ou plusieurs de ceux-ci.
- Composition solon l'une quelconque des revendications précédentes, dans laquelle le tensioactif interne est anionique ou cationique ou bien est un mélange d'un ou plusieurs tensioactifs cationiques avec un ou plusieurs tensioactifs anioniques.
- Composition selon la revendication 7, dans laquelle le tensioactif interne est un sulfonate-diamine, un sulfonatediol, un acide dihydroxycarboxylique ou un mélange de deux ou plusieurs de ceux-ci.
  - 9. Composition selon la revendication 8, dans laquelle l'acide carboxylique est l'acide α,α-diméthylolproplonique.
- 10. Composition selon l'une quelconque des revendications précédentes, dans laquelle le colorant réactif est un colorant qui est réactif avec le polvisocvanate.
  - 11. Composition selon la revendication 10, dans laquelle le colorant réactif est un colorant qui comporte des groupements contenant un hydroxyle et/ou contenant une amine.
- 20 12. Composition selon l'une quelconque des revendications précédentes, dans laquelle le colorant réactif est présent sous forme d'un réactif de prépolymère dans une proportion telle qu'il constitue de 1 à 20 pour cent en poids du prépolymère d'uréthane.
- 13. Composition selon la revendication 12, dans laquelle le colorant réactif est présent sous forme d'un réactif de prépolymère dans une proportion telle qu'il constitue de 7 à .19 pour cent en poids du prépolymère d'uréthane.
  - 14. Composition selon l'une quelconque des revendications précédentes, dans laquelle l'agent neutralisant est une trialitylamine.
- 15. Composition selon la revendication 14, dans laquelle la trialkylamine est la triéthylamine.
  - 16. Composition selon l'une quelconque des revendications précédentes, dans laquelle l'extenseur de chaîne est une diamine, une triamine, un diol, un triol ou un mélange de deux ou plusieurs de ceux-ci.
- 35 17. Composition selon la revendication 16, dans laquelle l'extenseur de chaîne est l'éthylène-djamine.
  - 18. Composition selon l'une quelconque des revendications précédentes, dans laquelle le second milieu aqueux dispersant présente un pH de 4 à 10.
- 40 19. Composition selon la revendication 18, dans laquelle le second milieu aqueux dispersant présente un pH de 6 à 8.
  - 20. Composition selon l'une quelconque des revendications précédentes, dans laquelle l'humidifiant est le glycérol, le propylènegiycol, un polyéthylènegiycol ou un mélange de deux ou plusieurs de ceux-ci.
- 45 21. Composition selon l'une quelconque des revendications précédentes et comprenant au moins un plastifiant.
  - 22. Composition selon la revendication 21, dans laquelle le plastifiant est un polyol allphatique, un ester de phtalate ou un mélange de deux ou plusieurs de ceux-ci.
- Composition selon la revendication 22, dans laquelle le polyol aliphatique est le 1,6-hexanediol et l'ester de phtalate est un dioctylphtalate.
- 24. Utilisation d'un prepolymère d'uréthane coloré comme 'défini dans le sous-alinéa (a) de la revendication 1, en vue de la formation d'une composition pour jet d'encre comprenant la dispersion aqueuse de polyuréthane cobré définie dans falinéa (1) de la revendication 1.